

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371

401303

U.S. APPLICATION NO.

097889665

INTERNATIONAL APPLICATION NO.

PCT/JP00/00158

INTERNATIONAL FILING DATE

January 17, 2000

PRIORITY DATE CLAIMED

TITLE OF INVENTION

ELEVATOR SYSTEM

APPLICANT(S) FOR DO/EO/US

Shigeki YAMAKAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 USC 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies

Items 12 to 19 below concern other document(s) or information included:

12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - ☒ Form PTO-1449
 - ☒ Copies of Listed Documents
13. ☒ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Application Data Sheet Under 37 CFR 1.76
18. ☒ Return Receipt Postcard
19. ☐ Other items or information:

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20. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... \$1,000.00
International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO..... \$ 860.00
International preliminary examination fee (37 CFR 1.482) paid to USPTO, but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 710.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$ 690.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1) to (4)..... \$ 100.00

CALCULATIONS

PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT=

\$860.00

Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than ☐ 20 ☐
30 months from the earliest claimed priority date

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	4	-20=	x \$ 18.00
Independent Claims	1	- 3 =	x \$ 80.00

\$

\$

☐ Multiple Dependent Claim(s) (if applicable)

+\$270.00

\$

TOTAL OF ABOVE CALCULATIONS=

\$860.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are
reduced by 1/2.

\$

SUBTOTAL=

\$860.00

Processing fee of \$130.00 for furnishing English Translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date.

\$

TOTAL NATIONAL FEE=

\$860.00

Fee for recording the enclosed assignment. The assignment must be accompanied by an
appropriate cover sheet. \$40.00 per property

+

\$40.00

TOTAL FEE ENCLOSED=

\$900.00

Amount to be:

refunded \$

charged: \$

- a. ☒ A check in the amount of \$900.00 to cover the above fee is enclosed.
- b. ☐ Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



23548

PATENT TRADEMARK OFFICE

Jeffrey A. Wyand, Registration No. 29,458
One of the Attorneys for Applicant(s)

Date